

CUSTOMER INFORMATION PRIVACY POLICY

At HBK Sorce Financial LLC, we are committed to maintaining the confidentiality, integrity and security of nonpublic personal information provided and entrusted to us, by you, in order for us to provide the best financial and planning services to you, our client. When you provide personal information to us, we believe you should be aware of our policies to protect the confidentiality of that information.

The following information summarizes the privacy policy of HBK Sorce Financial LLC as to the collection, use, retention, and security of your nonpublic personal information.

We may collect certain nonpublic personal information about you, but only when that information is provided by you or is obtained by us with your authorization, for the purpose of offering or furnishing services to you. We use that information to evaluate your financial situation and may also use it to provide various tax and financial planning services and products to you at your request.

The types of nonpublic information we may collect from you can be obtained from interviews and phone calls with you, letters or e-mails from you, prior and current tax returns you have provided us, financial statements and planning organizers applications and other forms or communications including:

- Name, address, social security number and asset, liability and income information;
- Information about your investment history including your transactions with us and our affiliated companies; and
- Information you have authorized us to obtain from others, including but not limited to, brokerage or other financial information.

We may share personal information about you with firms that assist us in servicing your account or accounts and processing transactions you request. We may share personal information about you among our affiliates to offer or provide further products and services to you. Our affiliates include the following:

HBK Professional LL	HBK Healthcare Solutions LLC
Hill, Barth & King Financial Holdings LLC	HBK Sorce Financial LLC
HBK Retirement LLC	HBK Sorce Brokerage LLC
Hill, Barth & King LLC	HBK Sorce Insurance LLC
iPro One, Inc.	HBK Sorce Holdings LLC
Park Shore Partners LLC	HBK Valuation Group LLC

We do not disclose personal information about you with nonaffiliated third parties except as permitted or required by law (e.g., disclosures to service client accounts or to respond to subpoenas). We may share personal information about you with nonaffiliated individuals or entities that you have previously authorized, including but not limited to, your other professional advisors and/or service providers (i.e., attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian).

In addition to that described in this notice, we restrict access to nonpublic personal information about you to employees of our firm and, in limited situations, to other nonaffiliated third parties who assist us in providing services to you. Their right to further disclose and use the information is limited by the policies of our firm, applicable law, our Code of Professional Conduct and nondisclosure agreements where appropriate. We also maintain physical, electronic and procedural safeguards in compliance with applicable law and regulations to guard your nonpublic personal information. We reserve the right to amend our policies at any time and will notify you should this occur.

Thank you for allowing us to serve you. We value your business and are committed to protecting your privacy. We hope you view our firm as your most trusted adviser and we will work to continue earning your trust and respect. Please call us if you have any question or if we can be of further service.

Investment advisory services are offered through HBK Sorce Advisory LLC.
Insurance products are offered through HBK Sorce Insurance LLC.
Securities are offered through Purshe Kaplan Sterling Investments, Member FINRA/SIPC.
HBK Sorce Financial LLC and its subsidiaries are not affiliated with Purshe Kaplan Sterling Investments.
